

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Luzerner Kantonalbank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Luzerner Kantonalbank AG  
Legal & Compliance Department  
Pilatusstrasse 12  
CH-6002 Luzern

Phone: +41 41 206 24 86 //peter.felder@lukb.ch

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55813

Date Claim Filed: October 29, 2009

Amount of Claim: CHF 75'000

Portion of Claim Transferred (see

Schedule I): CHF 75'000

Phone: 041 44 332 86 11

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

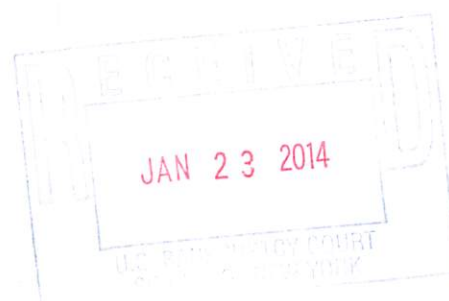
By: \_\_\_\_\_

Transferee/Transferee's Agent

*Jörg Hubler Peter Felder*

Date: January 14, 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Luzerner Kantonalbank** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55813** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by *Rule 3001 of the Federal Rules of Bankruptcy Procedure*, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **January 13, 2014**.

**Credit Suisse AG**

By: \_\_\_\_\_

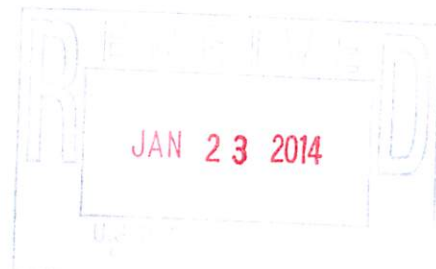
Name: Adrian Graf

Title: AVP

By: \_\_\_\_\_

Name: Rita von Wyl

Title: AVP



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
XS0220326408	55813	October 29, 2009	Lehman Brothers Treasury BV	CHF 75,000.00



United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Proc. Center  
c/o Epiq Bankruptcy Solutions LLC  
FTR Station, P.O. Box 5076  
New York, NY 10150-5076  
United States

Luzerner Kantonalbank AG  
Rechtsdienst & Compliance  
Pilatusstrasse 12  
Postfach  
6002 Luzern

Telefon 0844 822 811  
Telefax 041 206 29 16  
info@lukb.ch, www.lukb.ch  
MWST-Nr. 439 766

Datum January 14, 2014 DR-Fep  
Telefon direkt 041 206 24 86 Peter Felder  
Telefax direkt 041 206 29 16  
E-Mail peter.felder@lukb.ch

**Lehman Brothers Holdings Inc. - Evidence of Transfer of Claim**  
Case No. 08-13555 (JMP)

Dear Sir or Madam,

Enclosed we send you 1 Evidence of Transfer of Claim Form.

Thank you for your attention.

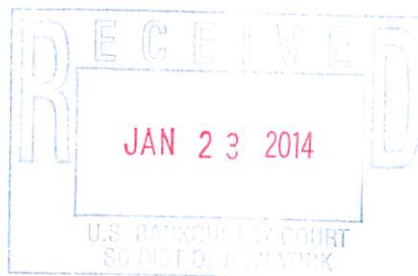
Sincerely yours,

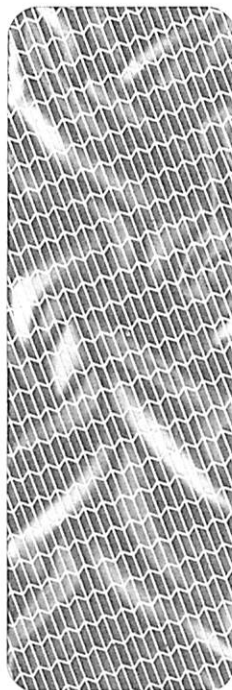
Luzerner Kantonalbank



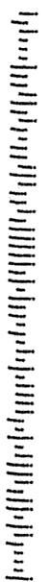
Peter Felder

enclosure.





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PRIORITY  
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**DIE POST**